



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lan BO et al.

Serial No. 10/699,913

Art Unit:

Filed: November 4, 2003

Examiner:

For: An MPEG-4 Live Unicast  
Video Streaming System In  
Wireless Network With End-  
to-End Bitrate-Based  
Congestion Control

Atty Docket: 0124/0016

INFORMATION DISCLOSURE STATEMENT  
FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

These documents are being submitted (check only one of the next four boxes):

- within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))

following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

OR

following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR § 1.97(d)

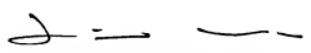
The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR § 1.97(d)(2))

The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the European Patent Office in a counterpart application. (37 CFR § 1.97(e)(1))

The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR § 1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

  
Louis Woo, Reg. No. 31,730  
Law Offices of Louis Woo  
717 North Fayette Street  
Alexandria, Virginia 22314  
Phone: (703) 299-4090

Date: Feb 5 2004



## FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket  
0124/0016Serial No.  
10/699,913

Applicant

Lan Bo et al.

Filing Date

November 4, 2003

Group Art Unit  
2152

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date | Name | Class | Sub-Class | Filing Date |
|------------------|----|-----------------|------|------|-------|-----------|-------------|
|                  | AA |                 |      |      |       |           |             |
|                  | AB |                 |      |      |       |           |             |
|                  | AC |                 |      |      |       |           |             |
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|  | AO |                 |      |         |       |           |              |
|  | AP |                 |      |         |       |           |              |

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|                                     |    |   |
|-------------------------------------|----|---|
| <u>copies</u>                       | AR | Van Jacobson et al., "Congestion Avoidance and Control", ACM SIGCOMM '98, pp. 314-329, ACM, August 1988 (first page only)   |
| <u>are not completely submitted</u> | AS | R. Rejaie et al., "PAP: An end-to-end rate-based congestion control mechanism for realtime streams in the Internet", Proc. IEEE INFOCOM, New York, March 1999 (first page only) |
|                                     | AT | S. McCarren et al., "Receiver-Driven Layered Multicast", Proc. ACM SIGCOMM '96, Stanford, C.A., August 1996, pp. 117-130 (first page only)                                      |

|          |                 |                 |            |
|----------|-----------------|-----------------|------------|
| Examiner | /Richard Keehn/ | Date Considered | 05/06/2008 |
|----------|-----------------|-----------------|------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.



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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

|                    |    |  |
|--------------------|----|--|
| copies             | AR | J. C. Bolot et al., "Scalable Feedback Control for Multicast Video Distribution in the Internet", Proc. ACM SIGCOMM '94, London, UK September 1994, pp. 58-67 (first page only)                                    |
| are not completely | AS | D. Wu et al., "On End-to-End Architecture for Transporting MPEG-4 Video over the Internet", IEEE Transactions on Circuits and Systems for Video Technology, Vol. 10, pp. 923-941, September 2000 (first page only) |
| submitted          | AT | D. Wu et al., "Streaming Video over the Internet: Approaches and Directions", IEEE Transactions on Circuits and Systems for Video Technology, Vol. 11, No. 3, March 2001, pp. 282-300 (first page only)            |

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FEB 05 2004

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| copies are not completely submitted | AR | D. Wu et al., "Transporting Real-time video over the Internet: Challenges and Approaches", Proc. IEEE, Vol. 88, pp. 1635-1657, December 2000 (first page only) |
|                                     | AS | J. Hunter et al., "A Review of Video Streaming over the Internet", White paper: DSTC Technical Report TR97-10, August 1997 (first page only)                   |
|                                     | AT |  |

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